# blue 🗑 of california

9.03.01	Keratoprosthesis		
Original Policy Date:	May 29, 2015	Effective Date:	June 1, 2023
Section:	9.0 Other	Page:	Page 1 of 15

## Policy Statement

- I. The Boston (Dohlman-Doane) Keratoprosthesis (Boston KPro) may be considered **medically necessary** for the surgical treatment of severe corneal opacification in situations where cadaveric corneal transplants have failed or have a very low likelihood of success (see Policy Guidelines).
- II. All other types of permanent keratoprostheses are considered investigational.

NOTE: Refer to Appendix A to see the policy statement changes (if any) from the previous version.

## **Policy Guidelines**

Implantation of a keratoprosthesis is considered a high-risk procedure associated with numerous complications and probable need for additional surgery. Therefore, the likelihood of regaining vision and the patient's visual acuity in the contralateral eye should be taken into account when considering the appropriateness of this procedure. Treatment should be restricted to centers experienced in treating this condition and staffed by surgeons adequately trained in techniques addressing implantation of this device.

Conditions under which cadaveric corneal transplants have a likelihood of failure include but are not limited to the following:

- The cornea is severely opaque and vascularized
- Best-corrected visual acuity is 20/400 or less in the affected eye and 20/40 or less in the contralateral eye
- No end-stage glaucoma or retinal detachment is present
- The patient has one of the following indications:
  - History of 1 or more corneal transplant graft failures
  - o Stevens-Johnson syndrome
  - o Ocular cicatricial pemphigoid
  - o Autoimmune conditions with rare ocular involvement
  - o Ocular chemical burns
  - An ocular condition unlikely to respond favorably to primary corneal transplant surgery (e.g., libel stem cell compromise or postherpetic anesthesia)

Note that patients should be able and expected to comply with postoperative care.

#### Description

A keratoprosthesis, consisting of a central optic held in a cylindrical frame, is an artificial cornea intended to restore vision to patients with severe bilateral corneal disease for whom a corneal transplant is not an option. The keratoprosthesis replaces the cornea that has been removed and is held in place by the surrounding tissue. Various biologic materials are being investigated to improve integration of the prosthetic into the eye.

#### **Related Policies**

• Endothelial Keratoplasty

#### **Benefit Application**

Benefit determinations should be based in all cases on the applicable contract language. To the extent there are any conflicts between these guidelines and the contract language, the contract language will control. Please refer to the member's contract benefits in effect at the time of service to determine coverage or non-coverage of these services as it applies to an individual member.

Some state or federal mandates (e.g., Federal Employee Program [FEP]) prohibits plans from denying Food and Drug Administration (FDA)-approved technologies as investigational. In these instances, plans may have to consider the coverage eligibility of FDA-approved technologies on the basis of medical necessity alone.

## **Regulatory Status**

In 1992, the Boston KPro (Dohlman-Doane keratoprosthesis; Massachusetts Eye and Ear Infirmary) was approved by the U.S. Food and Drug Administration (FDA) through the premarket approval process for use in patients with severe corneal opacity. The device is used when standard corneal transplant has failed or would be unlikely to succeed. There are 2 types of Boston KPro. Type 1 is used in eyes when eyelids, blink mechanism, and tear film are intact. Type 2 is used with severe dry eye and in eyes with mucosal keratinization and obliteration of normal conjunctival fornices.

In August 2002, the AlphaCor<sup>®</sup> (Chirila Keratoprosthesis) was cleared for marketing by the FDA through the 510(k) process. The FDA determined that this device was substantially equivalent to the Dolman-Doane keratoprosthesis. The AlphaCor<sup>®</sup> device is indicated as a keratoprosthesis in adults with corneal opacity when standard penetrating keratoplasty with donor tissue is not suitable, when patients have declined standard penetrating keratoplasty, or when adjunctive procedures to prevent graft rejection are contraindicated.

FDA product code: HQM

#### Rationale

#### Background Cornea

The cornea, a clear, dome-shaped membrane that covers the front of the eye, is a key refractive element of sight. Layers of the cornea consist of the epithelium (outermost layer); Bowman layer; the stroma, which comprises approximately 90% of the cornea; Descemet membrane; and the endothelium.

#### Treatment

The established surgical treatment for corneal disease is penetrating keratoplasty, which involves making a large central opening through the cornea and then filling the opening with a full-thickness donor cornea. In certain conditions, such as Stevens-Johnson syndrome, ocular cicatricial pemphigoid, chemical injury, or prior failed corneal transplant, survival of transplanted cornea is poor. The keratoprosthesis was developed to restore vision in patients for whom a corneal transplant is not an option.

Page 3 of 15

Keratoprosthetic devices consist of a central optic held in a cylindrical frame. The keratoprosthesis replaces the section of the cornea that has been removed, and, along with being held in place by the surrounding tissue, may be covered by a membrane to further anchor the prosthesis. A variety of biologic materials are being investigated to improve the integration of prosthetic corneal implants into the stroma and other corneal layers.

The Dohlman-Doane keratoprosthesis, most commonly referred to as the Boston Keratoprosthesis (KPro), is manufactured under the auspices of the Harvard Medical School affiliated Massachusetts Eye and Ear Infirmary. The Boston type 1 KPro uses a donor cornea between a central stem and a back plate. The Boston type 2 prosthesis is a modification of the type 1 prosthesis and is designed with an anterior extension to allow implantation through surgically closed eyelids. The AlphaCor, previously known as the Chirila keratoprosthesis (Chirila KPro), consists of a polymethylmethacrylate device with a central optic region fused to a surrounding sponge skirt; the device is inserted in a 2-stage surgical procedure.

Autologous keratoprostheses use a central polymethylmethacrylate optic supported by a skirt of either tibia bone or the root of a tooth with its surrounding alveolar bone. The most common is the osteo-odonto-keratoprosthesis, which uses osteodental lamina derived from an extracted tooth root and attached alveolar bone that has been removed from the patient's jaw. Insertion of the osteo-odonto-keratoprosthesis device requires a complex staged procedure, in which the cornea is first covered with buccal mucosa. The prosthesis itself consists of a polymethylmethacrylate optical cylinder, which replaces the cornea, and is held in place by biologic support made from a canine tooth extracted from the recipient. A hole is drilled through the dental root and alveolar bone, and the polymethylmethacrylate prosthesis is placed within. This entire unit is placed into a subcutaneous ocular pocket and is then retrieved 6 to 12 months later for final insertion.

Hydroxyapatite, with a similar mineral composition to both bone and teeth (phosphate and calcium), may also be used as a bone substitute and as a bioactive prosthesis with the orbit. Collagen coating and scaffolds have also been investigated to improve growth and biocompatibility with the corneal epithelial cells, which form the protective layer of the eye. Many of these materials and devices are currently being tested in vitro or animal models.

#### **Literature Review**

Evidence reviews assess the clinical evidence to determine whether the use of a technology improves the net health outcome. Broadly defined, health outcomes are length of life, quality of life, and ability to function including benefits and harms. Every clinical condition has specific outcomes that are important to patients and to managing the course of that condition. Validated outcome measures are necessary to ascertain whether a condition improves or worsens; and whether the magnitude of that change is clinically significant. The net health outcome is a balance of benefits and harms.

To assess whether the evidence is sufficient to draw conclusions about the net health outcome of a technology, 2 domains are examined: the relevance and the quality and credibility. To be relevant, studies must represent one or more intended clinical use of the technology in the intended population and compare an effective and appropriate alternative at a comparable intensity. For some conditions, the alternative will be supportive care or surveillance. The quality and credibility of the evidence depend on study design and conduct, minimizing bias and confounding that can generate incorrect findings. The randomized controlled trial is preferred to assess efficacy; however, in some circumstances, nonrandomized studies may be adequate. Randomized controlled trials are rarely large enough or long enough to capture less common adverse events and long-term effects. Other types of studies can be used for these purposes and to assess generalizability to broader clinical populations and settings of clinical practice.

Promotion of greater diversity and inclusion in clinical research of historically marginalized groups (e.g., People of Color [African-American, Asian, Black, Latino and Native American]; LGBTQIA

Page 4 of 15

(Lesbian, Gay, Bisexual, Transgender, Queer, Intersex, Asexual); Women; and People with Disabilities [Physical and Invisible]) allows policy populations to be more reflective of and findings more applicable to our diverse members. While we also strive to use inclusive language related to these groups in our policies, use of gender-specific nouns (e.g., women, men, sisters, etc.) will continue when reflective of language used in publications describing study populations.

The keratoprosthesis is intended for the relatively small number of patients with severe corneal damage who have lost vision and for whom a corneal transplant is not expected to result in satisfactory outcomes. These criteria generally refer to the population of patients who have failed one or more corneal transplants and who therefore have very few options to prevent blindness. Because this surgery is considered a salvage procedure with no acceptable alternative treatments, comparative studies are limited and/or lacking. The available literature primarily consists of retrospective case series. This evidence review examines the types of devices currently being tested in humans, focusing on reports that permit assessment of integration within the eye, durability, visual outcomes, and adverse events following implantation.

#### Boston (Dohlman-Doane) Keratoprosthesis Clinical Context and Therapy Purpose

The purpose of Boston keratoprosthesis (KPro) in patients with corneal blindness who are not candidates for corneal transplantation is to provide a treatment option that is an alternative to or an improvement on existing therapies.

The question addressed in this evidence review is: Does the use of Boston KPro in patients with corneal blindness who are not candidates for corneal transplantation improve net health outcomes?

The following PICO was used to select literature to inform this review.

#### Population

The relevant population of interest is patients with corneal blindness who are not candidates for corneal transplantation.

#### Interventions

The treatment being considered is Boston KPro, which is performed by an ophthalmologist or surgeon in an outpatient clinical setting or surgery center.

#### Comparators

The comparator of interest is penetrating keratoplasty, which is performed by an ophthalmologist or surgeon in an outpatient clinical setting or surgery center.

#### Outcomes

The outcomes of interest are change in disease status, morbid events, quality of life, and treatmentrelated morbidity. Positive outcomes would be biointegration of the prosthetic by the body and improvement in visual acuity in the treated eye. Negative outcomes include infection, device extrusion, and permanent vision loss.

Follow-up of at least 2 years would be desirable to assess outcomes.

#### **Study Selection Criteria**

Methodologically credible studies were selected using the following principles:

- 1. To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- 2. In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.

Page 5 of 15

3. To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.

Studies with duplicative or overlapping populations were excluded.

#### **Review of Evidence**

#### Systematic Reviews

In 2015, a systematic review from the American Academy of Ophthalmology identified 22 studies on the efficacy and safety of the Boston (Dohlman-Doane) Keratoprosthesis (Boston KPro).<sup>1,</sup> Studies were published in English and retrospective series had to include at least 25 eyes. The 22 studies included a total of 2,176 eyes; sample sizes in individual studies ranged from 30 to 300 eyes. The proportion of patients with visual acuity of 20/200 after surgery ranged from 54% to 84% in the 10 studies reporting this outcome. Five articles reported that 11% to 39% of treated eyes attained visual acuities of 20/40 or better. Reviewers noted that published data were skewed toward visual improvement. Fourteen articles reported retention rates (eyes retaining the KPro device without loss, extrusion or dehiscence of the device), and these rates ranged from 65% to 100% (mean, 88%). The most common reasons for KPro loss were corneal melts with device exposure or extrusion, endophthalmitis, infectious keratitis, or corneal ulceration. The most common complication was retroprosthetic membrane formation, which ranged from 1% to 65% (mean, 30%) in the 13 studies reporting complications.

A systematic review by Ahmad et al (2016) examined 26 studies on repeat penetrating keratoplasty vs Boston KPro implantation after failed penetrating keratoplasty.<sup>2,</sup> Studies selected focused on patients with corneal opacity who had failed one or more penetrating keratoplastys. Studies were excluded if they only selected patients with ocular surface disease. The primary outcome of interest was the proportion of patients with visual acuity of 20/200 or better at 2 or more years postsurgery. In a meta-analysis of 9 studies, the likelihood of 20/200 vision or better at least 2 years after repeat penetrating keratoplasty surgery was 42% (95% confidence interval [CI], 30% to 56%). A total of 104 eyes from 98 patients underwent KPro after failed penetrating keratoplasty surgery; 31 patients had only 1 previous penetrating keratoplasty. In a meta-analysis of data on KPro implantation after failed penetrating keratoplasty surgery, the probability of maintaining visual acuity of 20/200 or better at 2 years was 80% (95% Cl, 68% to 88%). Among patients with a history of one failed penetrating keratoplasty, the probability of maintaining a visual acuity of 20/200 or better at 2 years was 74% (95% CI, 45% to 89%). (Reviewers did not specify the number of patients receiving KPro who were included in the analysis of 20/200 vision at 2 years.) In terms of complications after KPro following failed penetrating keratoplasty, at 2 years 29% of patients had elevated intraocular pressure and 8% needed surgery for glaucoma. In an analysis limited to patients undergoing KPro after 1 failed penetrating keratoplasty, complication rates ranging from 29% to 10% (which did not differ significantly from patients with KPro after >1 failed penetrating keratoplastys). Reviewers did not report the number of patients included in the complication analyses.

#### **Case Series**

Representative larger series include a report from the Boston Type 1 Keratoprosthesis Study Group (2013) that assessed retention of the KPro device in 300 eyes of 300 patients.<sup>3,</sup> At a mean follow-up of 17.1 months (range, 1 week to 6 years), 93% of the keratoprostheses were retained. The probability of retention was 94% at 1 year and 89% at 2 years. Mean device durability was 3.8 years. Risk factors for keratoprosthesis loss were an autoimmune disease, ocular surface exposure, and a number of prior failed penetrating keratoplasty procedures. Additional data on this cohort were published in 2016.<sup>4,</sup> Preoperative visual acuities, available for 47% of eyes, was 20/1205. During a mean follow-up of 17 months (range, 1 week to 6 years), visual acuity improved significantly for 85% of eyes to a final mean of 20/150. Median time to achieve visual acuity of 20/200 was 1 month, and this level of acuity lasted for a mean of 48 months among patients with sufficient follow-up.

Page 6 of 15

Srikumaran et al (2014) reported on a mean follow-up of 46.7 months (range, 6 weeks to 8.7 years) for 139 eyes of 133 patients who had received a Boston KPro at 1 of 5 tertiary referral centers in the United States.<sup>5,</sup>Twenty-seven percent of eyes underwent a primary KPro procedure while 73% had a prior donor graft failure. Postoperatively, visual acuity improved to at least 20/200 in 70% of eyes. The probability of maintaining visual acuity of at least 20/200 was 50%, and device retention was estimated at 67% at 7 years. The 7-year cumulative incidence of complications was 49.7% for retroprosthetic membrane formation, 21.6% for glaucoma surgery, 18.6% for retinal detachment, and 15.5% for endophthalmitis.

A prospective series of 265 eyes (265 patients) from 18 medical centers, published by the Boston Type 1 Keratoprosthesis Study Group (2012), focused on the time to development of retroprosthetic membranes.<sup>6,</sup> Most eyes (85.4%) had undergone an average of 2.2 (range, 1-8) penetrating keratoplastys before keratoprosthesis implantation. The remaining eyes (14.6%) were considered at high-risk for penetrating keratoplasty failure and had received a primary keratoprosthesis. At a mean follow-up of 17.8 months, retroprosthetic membranes had formed in 31.7% of eyes. The mean time to development of retroprosthetic membranes was 216.7 days (range, 7 days to 4 years). Risk factors were the indication for the keratoprosthesis. Specifically, infectious keratitis had a hazard ratio of 3.2 (95% CI, 1.7 to 6.2) and aniridia had a hazard ratio of 3.1 (95% CI, 1.1 to 8.9).

Dunlap et al (2010) retrospectively analyzed 122 patients (126 eyes) at 2 centers who received a Boston type 1 KPro between 2004 and 2007.<sup>7</sup>, For most patients, the affected eye had a visual acuity of less than 20/400, and the contralateral eye did not have better vision. Of the 126 eyes, 112 had a history of multiple failed corneal grafts, and 14 had received the keratoprosthesis as a primary procedure due to the presence of limbal stem cell deficiency or significant ocular surface diseases. Following implantation, 96 (76%) eyes had improved vision, 22 (17.4%) eyes did not improve, and 8 (6.3%) eyes lost vision. At 3-month follow-up, 54% of eyes had 20/200 vision or better, with 18% achieving 20/40 or better. In approximately 45% of the eyes, visual acuity remained less than 20/400. The percentage of patients with improved visual outcomes was lower than in other published studies, due in part to the presence of comorbid conditions (e.g., glaucoma, retinal detachment).

#### Adverse Events

Odorcic et al (2015) published a literature review on fungal infections after Boston type 1 KPro.<sup>8,</sup> They identified 15 relevant publications, primarily retrospective case series. Annual rates of fungal infections reported in these studies ranged from 0.9 to 2 per 100 patients. The largest case series assessed 291 eyes, and the cumulative incidence of fungal endophthalmitis was 2.4% over 10 years.

Chan et al (2016) retrospectively reviewed 110 patients (128 eyes) who received a Boston type 1 KPro, focusing on corneal melts, leaks, and extrusions.<sup>9,</sup> Mean follow-up was 29 months (range, 3-77 months). Melt-related complications requiring surgical repair occurred in 16% (20/128) of eyes; seven of these eyes had multiple episodes. The average time to a melt complication was 13 months after KPro implantation. Risk factors significantly associated with melt-related complications were previous infectious keratitis, and conjunctival deficiency caused by Stevens-Johnson syndrome, mucous membrane pemphigoid, or previous chemical injury.

Posterior segment complications were reported by Goldman et al (2013)<sup>10,</sup> Of 83 eyes (93 procedures) with follow-up of at least 6 months (range, 6-84 months), 38 (40.9%) eyes had at least 1 postoperative posterior segment complication, which included retinal detachment (16.9%), choroidal detachment (16.9%), and sterile vitritis (14.5%). Visual acuity was worse in eyes that experienced posterior segment complications than in eyes that did not.

## Section Summary: Boston Keratoprosthesis

Numerous case series and systematic reviews of these series have assessed thousands of eyes implanted with the Boston KPro device. A 2015 systematic review of KPro efficacy included 22 series with a total of 2,176 eyes. Studies with longer follow-up (i.e., at least 2 years) have shown improved

Page 7 of 15

visual outcomes in a substantial percentage of patients with Boston KPro. This procedure is high-risk and is associated with numerous complications (e.g., the growth of retroprosthetic membranes) and a probable need for additional surgery, thus careful patient selection is important.

#### AlphaCor Device

#### **Clinical Context and Therapy Purpose**

The purpose of AlphaCor keratoprosthesis in patients with corneal blindness who are not candidates for corneal transplantation is to provide a treatment option that is an alternative to or an improvement on existing therapies.

The question addressed in this evidence review is: Does the use of AlphaCor keratoprosthesis in patients with corneal blindness who are not candidates for corneal transplantation improve net health outcomes?

The following PICO was used to select literature to inform this review.

#### Population

The relevant population of interest is patients with corneal blindness who are not candidates for corneal transplantation.

#### Interventions

The treatment being considered is AlphaCor keratoprosthesis, which is performed by an ophthalmologist or surgeon in an outpatient clinical setting or surgery center.

#### Comparators

The comparator of interest is penetrating keratoplasty, which is performed by an ophthalmologist or surgeon in an outpatient clinical setting or surgery center.

#### Outcomes

The outcomes of interest are change in disease status, morbid events, quality of life, and treatmentrelated morbidity. Positive outcomes would be biointegration of the prosthetic by the body and improvement in visual acuity in the treated eye. Negative outcomes include infection, device extrusion, and permanent vision loss.

Follow-up of at least 2 years would be desirable to assess outcomes.

#### **Study Selection Criteria**

Methodologically credible studies were selected using the following principles:

- 1. To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- 2. In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- 3. To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.

Studies with duplicative or overlapping populations were excluded.

#### **Review of Evidence**

Studies have suggested that, with the AlphaCor device, thinning or "melting" of the anterior corneal surface can lead to loss of biointegration.<sup>11,12,</sup> This complication appears most prevalent in patients with ocular herpes, hence, the AlphaCor device is contraindicated in these patients.

Several case series have evaluated the AlphaCor. One of the larger was published by Hicks et al (2003).<sup>11,</sup> It included 40 devices implanted in 38 patients. At an average 30-month follow-up, 42% of

Page 8 of 15

eyes had visual acuity better than 20/200. Hoffart et al (2015) evaluated the AlphaCor device implanted in 12 patients.<sup>13,</sup> At a mean follow-up of 25 months, 8 (67%) of devices were retained, and patients had a mean gain in best-corrected visual acuity of 2.5 lines. The most common complication was corneal necrosis, observed in 7 (59%) patients, two of whom had a history of ocular herpes.

#### Section Summary: AlphaCor Device

Only a few published case series have evaluated the AlphaCor device, and hence there are insufficient data on improvements in vision outcomes this device. Moreover, the device has been associated with complications, including thinning or melting of the anterior corneal surface and corneal necrosis.

## Osteo-Odonto-Keratoprosthesis

#### **Clinical Context and Therapy Purpose**

The purpose of osteo-odonto-keratoprosthesis in patients with corneal blindness who are not candidates for corneal transplantation is to provide a treatment option that is an alternative to or an improvement on existing therapies.

The question addressed in this evidence review is: Does the use of osteo-odonto-keratoprosthesis in patients with corneal blindness who are not candidates for corneal transplantation improve net health outcomes?

The following PICO was used to select literature to inform this review.

#### Population

The relevant population of interest is patients with corneal blindness who are not candidates for corneal transplantation.

#### Interventions

The treatment being considered is osteo-odonto-keratoprosthesis. This is a staged procedure procedure requiring a multidisciplinary approach involving dentists, ophthalmologists, and radiologists. The entire procedure takes place over a span of 6 to 12 months.

#### Comparators

The comparator of interest is penetrating keratoplasty, which is performed by an ophthalmologist or surgeon in an outpatient clinical setting or surgery center.

#### Outcomes

The outcomes of interest are change in disease status, morbid events, quality of life, and treatmentrelated morbidity. Positive outcomes would be biointegration of the implant by the body and improvement in visual acuity in the treated eye. Negative outcomes include infection, device extrusion, and permanent vision loss.

Follow-up of at least 5 years, preferably longer, would be desirable to assess outcomes.

#### **Study Selection Criteria**

Methodologically credible studies were selected using the following principles:

- 1. To assess efficacy outcomes, comparative controlled prospective trials were sought, with a preference for RCTs;
- 2. In the absence of such trials, comparative observational studies were sought, with a preference for prospective studies.
- 3. To assess long-term outcomes and adverse events, single-arm studies that capture longer periods of follow-up and/or larger populations were sought.

Studies with duplicative or overlapping populations were excluded.

#### **Review of Evidence**

A systematic review by Tan et al (2012) included 8 case series describing surgical outcomes and complication rates of the osteo-odonto-keratoprosthesis.<sup>14,</sup> Sample sizes ranged from 4 to 181 eyes. None of the studies was conducted in the United States. At 5 years, the pooled anatomic survival rate was 88% (range, 67%-100%) and, at 20 years, based on pooled data from 3 series, the anatomic survival rate was 81% (range, 65%-98%). About half of the patients obtained visual acuity better than 6/18. Visual acuity in the other patients was not described.

One of the largest case series (included in the Tan systematic review) is that by Falcinelli et al (2005), who reported on osteo-odonto-keratoprosthesis in 181 patients.<sup>15,</sup> At a median follow-up of 12 years, survival analysis estimated that the probability of retaining an anatomically intact osteo-odonto-keratoprosthesis 18 years after surgery with reasonable visual acuity was 85%.

In 2008, investigators from Spain retrospectively reviewed 227 patients who underwent osteoodonto-keratoprosthesis (n=145) or osteokeratoprosthesis (n=82) using tibial bone in patients who lacked canine teeth to assemble the prosthesis.<sup>16,</sup> A second publication in 2011 from the same study examined the impact of clinical factors on long-term functional and anatomic outcomes.<sup>17,</sup> The primary diagnosis was chemical or thermal burn (48%), Steven-Johnson syndrome and Lyell syndrome (13%), cicatricial pemphigoid (11%), trachoma (11%), and other or not assignable (17%). Mean preoperative decimal best-corrected visual acuity was 0.00062 (range, light perception to 0.10). (On the decimal visual acuity scale, 0 = no light perception, 0.00001 = light perception, 0.0001 = light projection, and 0.001 = counting fingers.) Functional survival was defined as best-corrected visual acuity of 0.05 or more, and anatomic survival as retention of the keratoprosthesis lamina. Mean follow-up was 8.4 years for osteo-odonto-keratoprosthesis and 3.5 years for osteokeratoprosthesis. Anatomic success at 10 years was estimated to be 66% for osteo-odonto-keratoprosthesis and 47% for osteokeratoprosthesis. Functional success at 10 years was estimated to be 38% for osteo-odontokeratoprosthesis and 17% for osteokeratoprosthesis. The best functional survival was in the Stevens-Johnson group, followed by chemical burn and trachoma. The least favorable prognosis was thermal burn. Complications included extrusion of the keratoprosthesis (28%), retinal detachment (16%), uncontrolled glaucoma (11%), infection (9%), retroprosthetic membrane (5%), and vitreous hemorrhage (3%). In cases without complications, functional survival was 57% at 5 years and 42% at 10 years.

Hughes et al (2008) reported on vitreoretinal complications of the osteo-odonto-keratoprosthesis in a retrospective review of 35 patients performed at 1 hospital in England between 1996 and 2005.<sup>18,</sup> Diagnoses were Stevens-Johnson syndrome in 15 patients, chemical injury in 5, mucous membrane pemphigoid in 3, and topical medication toxicity in 3. Follow-up at a mean 57 months (range, 13-105 months) revealed 9 vitreoretinal complications in 8 (23%) patients, which included vitreous hemorrhage, retinal detachment, and intraoperative choroidal hemorrhage. A 2008 report on 36 patients treated at the same hospital between 1996 and 2006 (likely to have reported patients assessed by Hughes<sup>18,</sup>) estimated that the probability of retaining visual acuity was 53% at 5 years and 44% at 9 years.<sup>19,</sup> In addition to the vitreoretinal complications causing loss of vision, resorption of the bony lamina led to visual or anatomic compromise in 7 (19%) cases.

#### Section Summary: Osteo-Odonto-Keratoprosthesis

A 2012 systematic review identified 8 case series evaluating osteo-odonto-keratoprosthesis, all of which were conducted outside of the United States, and no subsequent studies were identified. Pooled analyses of case series data found high anatomic survival rates at 5 and 20 years. However, vision outcomes were not well-described. The systematic review reported that half of the patients obtained visual acuity better than 6/18. Osteo-odonto-keratoprosthesis is a complex surgical procedure and has been associated with a number of complications, including extrusion of the keratoprosthesis, retinal detachment, and vitreoretinal complications.

Page 10 of 15

#### **Supplemental Information**

The purpose of the following information is to provide reference material. Inclusion does not imply endorsement or alignment with the evidence review conclusions.

#### Clinical Input From Physician Specialty Societies and Academic Medical Centers

While the various physician specialty societies and academic medical centers may collaborate with and make recommendations during this process, through the provision of appropriate reviewers, input received does not represent an endorsement or position statement by the physician specialty societies or academic medical centers, unless otherwise noted.

In response to requests, input was received from 1 specialty society and 4 academic medical centers while this policy was under review in 2009. Reviewers generally supported a limited role for the Boston Keratoprosthesis in select patients. Some reviewers recommended use without first attempting a transplant under specific conditions that have a poor prognosis for corneal transplant; however, others found this controversial. Some reviewers recommended use only in patients with limited visual acuity in the contralateral eye. Overall, input indicated that the Boston Keratoprosthesis should be reserved for cases in which no other alternative (i.e., corneal transplantation) is available for treatment of corneal opacification.

#### **Practice Guidelines and Position Statements**

Guidelines or position statements will be considered for inclusion in 'Supplemental Information' if they were issued by, or jointly by, a US professional society, an international society with US representation, or National Institute for Health and Care Excellence (NICE). Priority will be given to guidelines that are informed by a systematic review, include strength of evidence ratings, and include a description of management of conflict of interest.

#### American Academy of Ophthalmology

The 2018 Preferred Practice Parameter on ocular edema and opacification by the American Academy of Ophthalmology did not provide specific recommendations on the keratoprosthesis, but discussed the technology and its current use:<sup>20,</sup>

"Significant improvements in the design and postoperative management of the Boston type 1 keratoprosthesis has resulted in a steady rise in the number of these procedures performed both in the United States and abroad. Reduced incidence of postoperative stromal necrosis and bacterial endophthalmitis due to the chronic use of protective soft contact lenses and topical antibiotics has resulted in improved retention and visual outcomes and has had a positive impact on surgeons' perceptions of when to recommend keratoprosthesis. Once considered a procedure of last resort in patients with severe bilateral visual impairment, it is now being used for a variety of unilateral and bilateral indications, such as ocular trauma, herpetic keratitis, aniridia, and Stevens-Johnson syndrome. More recently, as corneal surgeons have gained a greater appreciation of the failure rate of repeat corneal transplantation, a role for a keratoprosthetic in cases of multiple graft failure has become clearer. Despite earlier suggestions, keratoprosthetics are not considered ideal for pediatric cases, particularly as primary treatment....

"Patients with severe dry eye and autoimmune ocular surface diseases...remain a difficult management group despite the other successes of the Boston type I keratoprosthetic. Primary placement of the Boston keratoprosthesis in this group of patients results in a higher rate of epithelial defects, scleral and corneal necrosis, extrusion, and endophthalmitis. Some surgeons advocate ocular surface reconstruction with combined keratolimbal allografts or living related allografts prior to placement of the keratoprosthesis. This can potentially lead to improved outcomes in this group. The Boston type 2 keratoprosthetic designed to be used through the eyelid and the osteo-odonto-keratoprosthesis have been implanted with some success in this group of patients."

Page 11 of 15

#### U.S. Preventive Services Task Force Recommendations

Not applicable.

#### Medicare National Coverage

There is no Medicare national coverage policy.

## **Ongoing and Unpublished Clinical Trials**

Some currently ongoing trials that might influence this review are listed in Table 1.

#### Table 1. Summary of Key Trials

NCT No.	Trial Name	Planned Enrollment	Completion Date
Ongoing			
NCT02084745	Timing of Glaucoma Drainage Device With Boston KPro Surgery (GDD-KPro)	40	Mar 2025
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NCT: national clinical trial.

- Lee WB, Shtein RM, Kaufman SC, et al. Boston Keratoprosthesis: Outcomes and Complications: A Report by the American Academy of Ophthalmology. Ophthalmology. Jul 2015; 122(7): 1504-11. PMID 25934510
- Ahmad S, Mathews PM, Lindsley K, et al. Boston Type 1 Keratoprosthesis versus Repeat Donor Keratoplasty for Corneal Graft Failure: A Systematic Review and Meta-analysis. Ophthalmology. Jan 2016; 123(1): 165-77. PMID 26545318
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## **Documentation for Clinical Review**

#### Please provide the following documentation:

- History and physical and/or consultation notes including:
  - o Clinical findings (i.e., pertinent symptoms and duration)
  - o Comorbidities
  - o Activity and functional limitations
  - o Family history if applicable
  - o Reason for procedure/test/device, when applicable
  - o Pertinent past procedural and surgical history
  - Past and present diagnostic testing and results
  - o Prior conservative treatments, duration, and response
  - o Treatment plan (i.e., surgical intervention)
- Consultation and medical clearance report(s), when applicable
- Pertinent laboratory results

#### Post Service (in addition to the above, please include the following):

- Results/reports of tests performed
- Procedure report(s)

## Coding

This Policy relates only to the services or supplies described herein. Benefits may vary according to product design; therefore, contract language should be reviewed before applying the terms of the Policy.

The following codes are included below for informational purposes. Inclusion or exclusion of a code(s) does not constitute or imply member coverage or provider reimbursement policy. Policy Statements are intended to provide member coverage information and may include the use of some codes for clarity. The Policy Guidelines section may also provide additional information for how to interpret the Policy Statements and to provide coding guidance in some cases.

Туре	Code	Description
CPT	65770	Keratoprosthesis
HCPCS	L8609	Artificial cornea

## Policy History

This section provides a chronological history of the activities, updates and changes that have occurred with this Medical Policy.

Effective Date	Action
05/29/2015	BCBSA Medical Policy adoption
06/01/2016	Policy revision with no position change
05/01/2017	Policy revision without position change
05/01/2018	Policy revision without position change
05/01/2019	Policy revision without position change
06/01/2023	Policy reactivated. Previously archived from 05/01/2020 to 05/31/2023.

## **Definitions of Decision Determinations**

**Medically Necessary:** Services that are Medically Necessary include only those which have been established as safe and effective, are furnished under generally accepted professional standards to treat illness, injury or medical condition, and which, as determined by Blue Shield, are: (a) consistent with Blue Shield medical policy; (b) consistent with the symptoms or diagnosis; (c) not furnished primarily for the convenience of the patient, the attending Physician or other provider; (d) furnished at the most appropriate level which can be provided safely and effectively to the patient; and (e) not more costly than an alternative service or sequence of services at least as likely to produce equivalent therapeutic or diagnostic results as to the diagnosis or treatment of the Member's illness, injury, or disease.

**Investigational/Experimental:** A treatment, procedure, or drug is investigational when it has not been recognized as safe and effective for use in treating the particular condition in accordance with generally accepted professional medical standards. This includes services where approval by the federal or state governmental is required prior to use, but has not yet been granted.

**Split Evaluation**: Blue Shield of California/Blue Shield of California Life & Health Insurance Company (Blue Shield) policy review can result in a split evaluation, where a treatment, procedure, or drug will be considered to be investigational for certain indications or conditions, but will be deemed safe and effective for other indications or conditions, and therefore potentially medically necessary in those instances.

## Prior Authorization Requirements and Feedback (as applicable to your plan)

Within five days before the actual date of service, the provider must confirm with Blue Shield that the member's health plan coverage is still in effect. Blue Shield reserves the right to revoke an authorization prior to services being rendered based on cancellation of the member's eligibility. Final determination of benefits will be made after review of the claim for limitations or exclusions.

Questions regarding the applicability of this policy should be directed to the Prior Authorization Department at (800) 541-6652, or the Transplant Case Management Department at (800) 637-2066 ext. 3507708 or visit the provider portal at <u>www.blueshieldca.com/provider</u>.

We are interested in receiving feedback relative to developing, adopting, and reviewing criteria for medical policy. Any licensed practitioner who is contracted with Blue Shield of California or Blue Shield of California Promise Health Plan is welcome to provide comments, suggestions, or concerns. Our internal policy committees will receive and take your comments into consideration.

Page 14 of 15

For utilization and medical policy feedback, please send comments to: MedPolicy@blueshieldca.com

Disclaimer: This medical policy is a guide in evaluating the medical necessity of a particular service or treatment. Blue Shield of California may consider published peer-reviewed scientific literature, national guidelines, and local standards of practice in developing its medical policy. Federal and state law, as well as contract language, including definitions and specific contract provisions/exclusions, take precedence over medical policy and must be considered first in determining covered services. Member contracts may differ in their benefits. Blue Shield reserves the right to review and update policies as appropriate.

## Appendix A

POLICY STATEMENT		
BEFORE	AFTER <u>Blue font</u> : Verbiage Changes/Additions	
Reactivated Policy	Keratoprosthesis 9.03.01	
Policy Statement: N/A	<ul> <li>Policy Statement:         <ol> <li>The Boston (Dohlman-Doane) Keratoprosthesis (Boston KPro) may be considered medically necessary for the surgical treatment of severe corneal opacification in situations where cadaveric corneal transplants have failed or have a very low likelihood of success (see Policy Guidelines).</li> </ol> </li> <li>II. All other types of permanent keratoprostheses are considered investigational.</li> </ul>	